MANAGING INTERNATIONAL RESEARCH & ENGAGEMENT

Rebecca Hartley, JD
Assistant Vice President, Research Integrity and Assurance

Christopher DiTeresi, PhD
Associate Director, Research Integrity
FOR IMMEDIATE RELEASE

Tuesday, January 28, 2020

Harvard University Professor and Two Chinese Nationals Charged in Three Separate China Related Cases

The Department of Justice announced today that the Chair of Harvard University’s Chemistry and Chemical Biology Department and two Chinese nationals have been charged in connection with aiding the People’s Republic of China.

Dr. Charles Lieber, 60, Chair of the Department of Chemistry and Chemical Biology at Harvard University, was arrested this morning and charged by criminal complaint with one count of making a materially false, fictitious and fraudulent statement. Lieber will appear this afternoon before Magistrate Judge Marianne B. Bowler in

Kansas professor indicted for allegedly failing to disclose appointment at Chinese university

Subaru president charged in wildfire fueling

E. coli outbreak

The Washington Post

November 19, 2019

Washing DC

Van Andel Research Institute (VARI) has agreed to pay $5,500,000.00 to resolve allegations that it violated the False Claims Act by submitting federal grant applications and progress reports to the National Institutes of Health (NIH) in which VARI failed to disclose Chinese government grants that funded two VARI researchers. The settlement further resolves allegations that in a Dec. 21, 2018 letter, VARI made

Exclusive: Major U.S. cancer center outst 'Asian' researchers after NIH flags their foreign ties

GEORGE MASON UNIVERSITY
Current Environment

- Increased scrutiny by federal agencies on international research and engagement
- Country-based concern is primarily but not exclusively with China
- Process concern is with lack of transparency/disclosure and drives many recent enforcement actions
- NIH, NSF, DOD, DOE, and other funding agencies each have new or expanded requirements to disclose ‘Foreign Components’ and ‘Other Support’
- Disclosure requirements vary and the situation remains fluid
- Faculty and institutions face serious consequences for failure to disclose

Strong Commitments at Mason

- International engagement, collaborations, and global impact
- Academic freedom and openness
- Diversity and impartiality
Strong trend in research toward greater collaboration on larger projects that increasingly cross disciplinary and national boundaries.

Researchers are engaging in projects with a wider diversity of collaborations and sponsors than they may have had before.

Communicating with collaborators and disclosing and reporting activities properly to sponsors is critical, and requirements change from sponsor to sponsor and over time.
# Examples of NIH, NSF, and DOD Similar Requirements*

<table>
<thead>
<tr>
<th>What to Disclose</th>
<th>Where to Disclose</th>
<th>When To Disclose</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outside appointments, both paid and unpaid.</td>
<td>Biosketch</td>
<td>Proposal (NSF, NIH, DOD)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Just in time updates, Research Performance, Progress Report (NIH)</td>
</tr>
<tr>
<td>All resources, including in-kind, made available to an individual in support of and related to his/her research efforts</td>
<td>Other support</td>
<td>Proposal, JIT, RPPR (NIH)</td>
</tr>
<tr>
<td></td>
<td>Current and pending</td>
<td>Proposal, Progress Report (NSF)</td>
</tr>
<tr>
<td>Related Sources of support</td>
<td>Other Support</td>
<td>Proposal – Senior Key Personnel Profile (DOD)</td>
</tr>
</tbody>
</table>

* Other disclosure requirements include foreign sponsored travel over $5K (NIH), international visiting scholars, students or collaborators supporting your research (NIH), funding of a foreign organization, including sub-award or consultant arrangements as part of award (NSF)

See oria.gmu.edu for links to other requirements. Choose “foreign support” from the “Topics of Interest” menu.
WHAT YOU CAN DO: BEST PRACTICES FOR INTERNATIONAL ENGAGEMENT

DISCLOSURE is a fundamental tool for managing risk. Disclose and manage potential conflicts.

- Err on the side of transparency.

- Review current projects and each funding application to ensure that all Foreign Components and Other Support are disclosed and reported as required by the different federal sponsors.

- Guidance and requirements are updated frequently -- contact us if you have questions.

- Check with OSP and ORIA before adding a foreign component to an existing research project. You may have disclosure requirements or prohibitions.

- If a federal agency contacts you with questions about your international engagements, please contact us (rhartle1@gmu.edu or cditeres@gmu.edu) immediately for assistance.
Annual Training
Last Taken: 12/10/2019 12:48:57 PM
Annual Training »

Yearly Disclosures
You have 1 disclosure(s)
List of Previous Disclosures »

Immediate Family Members at GMU
You have 0 immediate family members at GMU
Immediate Family Members at GMU »

Project Disclosures
You have 1 project disclosures
List of Project Disclosures »

Other Disclosures
You have no other disclosures
List of Other Disclosures »
<table>
<thead>
<tr>
<th>User Name</th>
<th>Disclosure Type</th>
<th>Organization</th>
<th>Description</th>
<th>Role/Relationship</th>
</tr>
</thead>
<tbody>
<tr>
<td>Christophe A Diteresi</td>
<td>Outside Activities</td>
<td>Test Other Disclosures, LLC</td>
<td>I submit dummy disclosures to test the COI system.</td>
<td>tester</td>
</tr>
</tbody>
</table>

Records 1 to 1 of 1
Page 1 of 1
Other Disclosure

Disclosure Type: Please Select

Organization:

Role/Relationship:

Description:

Create

Back to List

© 2020 - George Mason University