



MANAGING INTERNATIONAL RESEARCH & ENGAGEMENT

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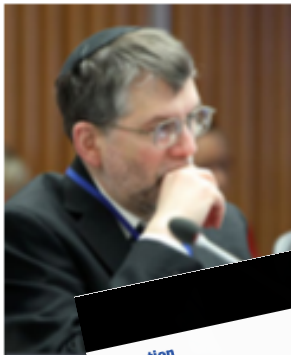


Universities Face Federal Crackdown Over Foreign Financial Influence

'THIS IS REAL THEFT'

NIH Investigates Foreign Influence at U.S. Grantee Institutions

BY ERIC BOCK



NIH has identified more than 100 instances of troubling foreign influence on extramural research.

violating... said Dr. Michael Lieber, NIH deputy director for extramural research.

Harvard University Professor and Two Chinese Nationals Charged in Three Separate China Related Cases

The Department of Justice announced today that the Chair of Harvard University's Chemistry and Chemical Biology Department and two Chinese nationals have been charged in connection with aiding the People's Republic of China.

Dr. Charles Lieber, 60, Chair of the Department of Chemistry and Chemical Biology at Harvard University, was arrested this morning and charged by criminal complaint with one count of making a materially false, fictitious and fraudulent statement. Lieber will appear this afternoon before Magistrate Judge Marianne B. Bowler in

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Home > Kansas professor indicted for allegedly failing to disclose appointment at Chinese university

Kansas professor indicted for allegedly failing to disclose appointment at Chinese university

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The Washington Post
Democracy Dies in Darkness

Scrutiny of Chinese American scientists raises fears of ethnic profiling

September 19, 2019

Settlement To Resolve Allegations of Chinese Grants To Two Researchers

WASHINGTON — The Department of Justice announced today that Van Andel Research Institute (VARI) has agreed to pay \$5,500,000.00 to resolve allegations that it violated the False Claims Act by submitting federal grant applications and progress reports to the National Institutes of Health (NIH) in which VARI failed to disclose Chinese government grants that funded two VARI researchers. The settlement further resolves allegations that in a Dec. 21, 2018 letter, VARI made

Science

Contents ▾

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in

Exclusive: Major U.S. cancer center ousts 'Asian' researchers after NIH flags their foreign ties

SENSITIVE ISSUES & CHALLENGING CONVERSATIONS

Current Environment

- ☐ Increased scrutiny by federal agencies on international research and engagement
- ☐ Country-based concern is primarily but not exclusively with China
- ☐ Process concern is with lack of transparency/disclosure and drives many recent enforcement actions
- ☐ NIH, NSF, DOD, DOE, and other funding agencies each have new or expanded requirements to disclose 'Foreign Components' and 'Other Support'
- ☐ Disclosure requirements vary and the situation remains fluid
- ☐ Faculty and institutions face serious consequences for failure to disclose

Strong Commitments at Mason

- ☐ International engagement, collaborations, and global impact
- ☐ Academic freedom and openness
- ☐ Diversity and impartiality

HOW COULD THIS AFFECT ME?

- ❑ Strong trend in research toward greater collaboration on larger projects that increasingly cross disciplinary and national boundaries.
- ❑ Researchers are engaging in projects with a wider diversity of collaborations and sponsors than they may have had before.
- ❑ Communicating with collaborators and disclosing and reporting activities properly to sponsors is critical, and requirements change from sponsor to sponsor and over time.

EXAMPLES OF NIH, NSF, AND DOD SIMILAR REQUIREMENTS*

What to Disclose	Where to Disclose	When To Disclose
Outside appointments, both paid and unpaid.	Biosketch	Proposal (NSF, NIH, DOD) Just in time updates, Research Performance, Progress Report (NIH)
All resources, including in-kind, made available to an individual in support of and related to his/her research efforts	Other support Current and pending	Proposal, JIT, RPPR (NIH) Proposal, Progress Report (NSF)
Related Sources of support	Other Support	Proposal – Senior Key Personnel Profile (DOD)
<p>* Other disclosure requirements include foreign sponsored travel over \$5K (NIH), international visiting scholars, students or collaborators supporting your research (NIH), funding of a foreign organization, including sub-award or consultant arrangements as part of award (NSF)</p> <p>See oria.gmu.edu for links to other requirements. Choose “foreign support” from the “Topics of Interest” menu.</p>		

WHAT YOU CAN DO: BEST PRACTICES FOR INTERNATIONAL ENGAGEMENT

DISCLOSURE is a fundamental tool for managing risk.
Disclose and manage potential conflicts.

- ☐ Err on the side of transparency.
- ☐ Review current projects and each funding application to ensure that all Foreign Components and Other Support are disclosed and reported as required by the different federal sponsors.
- ☐ Guidance and requirements are updated frequently -- contact us if you have questions.
- ☐ Check with OSP and ORIA before adding a foreign component to an existing research project. You may have disclosure requirements or prohibitions.
- ☐ If a federal agency contacts you with questions about your international engagements, please contact us (rhartle1@gmu.edu or cditeres@gmu.edu) immediately for assistance.

A stylized graphic of a plant with large, pointed leaves and a tall, slender stalk, rendered in a light green color against a dark green background.

QUESTIONS?

COVID-19 INFO

HOME

TOPICS OF INTEREST

ABOUT US

CONTACT

AUTHORSHIP GUIDELINES

GEORGE
MASON
UNIVERSITY

Office of Research

ANIMAL SUBJECTS

Animal Subjects

Authorship

Conflict of Interest

Committees

Controlled Unclassified
Information

Export Controls

Foreign Support

Human Subjects

Research Compliance
Matrix

Research Misconduct


Integrity and Assurance

HUMAN SUBJECTS

FOREIGN SUPPORT

First - My ASP.NET Application

https://provapps.gmu.edu/COI/Account/First

 Home My SFIs My Project Disclosures **My Other Disclosures** Admin Projects Lookups Hello Christophe! Log off

Who does your unit report to *: Vice President, Research Save

Annual Training

Last Taken: 12/10/2019 12:48:57 PM

Annual Training »

Yearly Disclosures

You have 1 disclosure(s)

List of Previous Disclosures »

Immediate Family Members at GMU

You have 0 Immediate family members at GMU

Immediate Family Members at GMU »

Project Disclosures

You have 1 project disclosures

List of Project Disclosures »

Other Disclosures

You have no other disclosures

List of Other Disclosures »

Index - My ASP.NET Application

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User Name	Disclosure Type	Organization	Description	Role/Relationship
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Records 1 to 1 of 1

Page 1 of 1

1

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